

Express Mail No. ER 363643455 US

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE AS EXPRESS MAIL IN AN ENVELOPE ADDRESSED TO: COMMISSIONER FOR PATENTS, PO BOX 1450, ARLINGTON, VA 22313-1450. APPLICANT AND/OR ATTORNEY REQUESTS THE DATE OF DEPOSIT AS THE FILING DATE.

12/8/2003
(Date of Deposit)

Susan L. Phelps 12/8/2003
Susan L. Phelps (Signature & Date)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of _____:

Robert J. Curran et al. _____:

Serial No. _____:

Filed: Herewith _____:

Examiner:

Lawrence D. Cutter, Sr. Attorney
IBM Corporation, IPLAW
MS P386 / Bldg. 708
2455 South Road
Poughkeepsie, NY 12601

Title: **DATA MOVEMENT MANAGEMENT SYSTEM AND METHOD FOR A
STORAGE AREA NETWORK FILE SYSTEM EMPLOYING THE DATA
MANAGEMENT APPLICATION PROGRAMMING INTERFACE**

INFORMATION DISCLOSURE STATEMENT

Commissioner For Patents
P.O. Box 1450
Arlington, VA 22313-1450

Sir:

Pursuant to the duty of disclosure set forth in 37 CFR 1.56, and further pursuant to 37 CFR 1.97 and 37 CFR 1.98, Applicants hereby respectfully submit copies of the following prior patents and publications as listed on Form PTO-1449, attached hereto.

Respectfully submitted,
Robert J. Curran et al.

By: Lawrence D. Cutter
Lawrence D. Cutter, Attorney
Registration No. 28,501
Tel. (845) 433-1172

Enclosure

POU920030196US1

| | | | |
|---|--|--|------------|
| FORM PTO-1449 (Modified) | | ATTY. DOCKET NO. POU920030196US1 | SERIAL NO. |
| LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT | | APPLICANT: Curran et al. | |
| (Use several sheets if necessary) EXPRESS MAIL # ER 363643455 US | | FILING DATE: | GROUP: |

REFERENCE DESIGNATION U.S. PATENT DOCUMENTS

| EXAMINER INITIALS | | DOCUMENT NUMBER | ISSUED DATE | NAME | CLASS | SUBCLASS | FILING DATE (IF APPRO.) |
|-------------------|----|--------------------|-------------|-------------------|-------|----------|-------------------------|
| | AA | 5,630,124 | 05/13/1997 | Coyle, Jr. et al. | | | |
| | AB | 5,893,086 | 04/06/1999 | Schmuck et al. | | | |
| | AC | 5,933,824 | 08/03/1999 | DeKoning et al. | | | |
| | AD | 5,999,976 | 12/07/1999 | Schmuck et al. | | | |
| | AE | 6,438,582 B1 | 08/20/2002 | Hsiao et al. | | | |
| | AF | US 2002/0059309 A1 | 05/16/2002 | Loy et al. | | | |
| | AG | US 2002/0123997 A1 | 09/05/2002 | Loy et al. | | | |
| | AH | US 2002/0124013 A1 | 09/05/2002 | Loy et al. | | | |
| | AI | US 2002/0133675 A1 | 09/19/2002 | Hirayama | | | |
| | AJ | US 2002/0143734 A1 | 10/03/2002 | Loy et al. | | | |
| | AK | US 2002/0144047 A1 | 10/03/2002 | Loy et al. | | | |
| | AL | US 2003/0078946 A1 | 04/24/2003 | Costello et al. | | | |

FOREIGN PATENT DOCUMENTS

| | | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATION | |
|--|--|-----------------|------|---------|-------|----------|-------------|----|
| | | | | | | | YES | NO |
| | | | | | | | | |
| | | | | | | | | |

OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

| | | |
|--|----|--|
| | AM | Lo et al. "Performance of Hierarchical Load Sharing in Heterogeneous Distributed Systems," Proc. The 9th Int. Conf. On Parallel and Distributed Computing Systems, 1996, pp. 370-371 (vol.1). ABSTRACT |
| | AN | Fan et al., "Efficient Multicast on Wormhole Switch-Based Irregular Networks of Workstations and Processor Clusters," Proc. Of ISCA 11th Int. Symp. On High Performance Computing Systems, 1997, pp. 331-341 (Abstract). |
| | AO | Ishizaki et al., "CRISPORB:High Performance COBRA for System Area Network," Proc. The 8th Int. Symp. On High Performance Distributed Computing, 1999, pp. 11-18. ABSTRACT |
| | AQ | |

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.